SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Smith Michael R			2. Date of Event Requiring Stater (Month/Day/Year 09/30/2014	nent 📘	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>MCCORMICK &amp; CO INC</u> [ MKC ]					
(Last) (First) (Middle) 18 LOVETON CIRCLE (Street) SPARKS MD 21152				4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify		er (Mo				
		_		A below) Senior VP Final	below) nce -		Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)								
			Table I - Nor	n-Derivati	ve Securities Beneficiall	y Owned				
1. Title of Security (Instr. 4)					Amount of Securities eneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect ( (Instr. 5)	ct (D) (Instr. 5)			
Common Stock - Voting					11,130.668	D				
Common Stock - Non Voting					3,449.874	D				
(e.g., puts, calls, was         1. Title of Derivative Security (Instr. 4)         2. Date Exercisable Expiration Date				Underlying Derivative Security (Instr. 4) Conv		s)		6. Nature of Indirect Beneficial Ownershi		
1. Title of Deri	vative Security (	Instr. 4)	Expiration Da	isable and ate		ies	4. Conversior		Beneficial Ownershi	
1. Title of Deri	vative Security (	Instr. 4)		isable and ate		ies	4.	n Ownership		
		Instr. 4)	Expiration Da (Month/Day/Y	isable and ate 'ear) Expiration	Underlying Derivative Securit	ties y (Instr. 4) Amount or Number of	4. Conversion or Exercise Price of Derivative	<ul> <li>Ownership</li> <li>Form:</li> <li>Direct (D)</li> <li>or Indirect</li> </ul>	Beneficial Ownershi	
<ol> <li>Title of Deri</li> <li>Options - Riş</li> <li>Options - Riş</li> </ol>	ght to Buy	Instr. 4)	Expiration Da (Month/Day/Y Date Exercisable	isable and ate 'ear) Expiration Date	Underlying Derivative Securit	ties y (Instr. 4) Amount or Number of Shares	4. Conversion or Exercise Price of Derivative Security	n Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Beneficial Ownershi	
Options - Riş Options - Riş	ght to Buy ght to Buy	Instr. 4)	Expiration Da (Month/Day/Y Date Exercisable 01/25/2006 <sup>(1)</sup>	Expiration Date	Underlying Derivative Security         Title         Common Stock - Voting         Common Stock - Non	Amount or Number of Shares 5,325	4. Conversion or Exercise Price of Derivative Security 38.35	Development Direct (D) or Indirect (I) (Instr. 5) D	Beneficial Ownershi	
Options - Riį	ght to Buy ght to Buy ght to Buy	Instr. 4)	Expiration Da (Month/Day/V Date Exercisable 01/25/2006 <sup>(1)</sup> 01/25/2006 <sup>(1)</sup>	Expiration Date 01/24/2015	Underlying Derivative Security         Title         Common Stock - Voting         Common Stock - Non         Voting	Amount or Number of Shares 5,325 1,775	4. Conversion or Exercise Price of Derivative Security 38.35 38.35	<ul> <li>Ownership</li> <li>Form:</li> <li>Direct (D)</li> <li>or Indirect</li> <li>(I) (Instr. 5)</li> </ul>	Beneficial Ownershi	
Options - Riį Options - Riį Options - Riį Options - Riį	ght to Buy ght to Buy ght to Buy ght to Buy	Instr. 4)	Expiration Da (Month/Day/Y Date Exercisable 01/25/2006 <sup>(1)</sup> 03/25/2010 <sup>(1)</sup>	isable and ate (ear) Expiration Date 01/24/2015 03/24/2019	Underlying Derivative Security         Title         Common Stock - Voting         Common Stock - Non         Voting         Common Stock - Voting         Common Stock - Voting         Common Stock - Non         Common Stock - Non         Common Stock - Non         Common Stock - Non	Amount or Number of Shares 5,325 1,775 7,725	4. Conversion or Exercise Price of Derivative Security 38.35 38.35 29.89	<ul> <li>Ownership Form: Direct (D) or Indirect (I) (Instr. 5)</li> <li>D</li> <li>D</li> <li>D</li> <li>D</li> <li>D</li> </ul>	Beneficial Ownershi	
Options - Riş Options - Riş Options - Riş	ght to Buy ght to Buy ght to Buy ght to Buy ght to Buy	Instr. 4)	Expiration Date           Date           Exercisable           01/25/2006 <sup>(1)</sup> 03/25/2010 <sup>(1)</sup> 03/25/2010 <sup>(1)</sup>	Expiration Date           01/24/2015           03/24/2019	Underlying Derivative Security         Title         Common Stock - Voting         Common Stock - Non         Voting         Common Stock - Voting         Common Stock - Non         Voting	Amount or Number of Shares       5,325       1,775       7,725       2,575	4. Conversion or Exercise Price of Derivative Security 38.35 38.35 29.89 29.89	<ul> <li>Ownership Form: Direct (D) or Indirect (I) (Instr. 5)</li> <li>D</li> <li>D</li> <li>D</li> <li>D</li> <li>D</li> <li>D</li> </ul>	Beneficial Ownershi	
Options - Riş Options - Riş Options - Riş Options - Riş Options - Riş Options - Riş	ght to Buy ght to Buy ght to Buy ght to Buy ght to Buy ght to Buy	Instr. 4)	Expiration Date           Date           Exercisable           01/25/2006 <sup>(1)</sup> 01/25/2006 <sup>(1)</sup> 03/25/2010 <sup>(1)</sup> 03/25/2010 <sup>(1)</sup> 03/31/2011 <sup>(1)</sup>	Expiration           Date           01/24/2015           03/24/2019           03/24/2019           03/30/2020	Underlying Derivative Security         Title         Common Stock - Voting         Common Stock - Non Voting         Common Stock - Non Voting         Common Stock - Non	Amount or Number of Shares       5,325       1,775       7,725       2,575       6,000	4. Conversion or Exercise Price of Derivative Security 38.35 38.35 29.89 29.89 38.39	<ul> <li>Ownership Form: Direct (D) or Indirect (I) (Instr. 5)</li> <li>D</li> <li>D</li> <li>D</li> <li>D</li> <li>D</li> <li>D</li> <li>D</li> <li>D</li> </ul>	Beneficial Ownersh	
Options - Rią Options - Rią Options - Rią Options - Rią Options - Rią Options - Rią Options - Rią	ght to Buy ght to Buy ght to Buy ght to Buy ght to Buy ght to Buy ght to Buy	Instr. 4)	Expiration Date           Date           Exercisable           01/25/2006 <sup>(1)</sup> 01/25/2006 <sup>(1)</sup> 03/25/2010 <sup>(1)</sup> 03/25/2010 <sup>(1)</sup> 03/31/2011 <sup>(1)</sup> 03/31/2011 <sup>(1)</sup>	Expiration Date           01/24/2015           01/24/2015           03/24/2019           03/2020	Underlying Derivative Security         Title         Common Stock - Voting         Common Stock - Non Voting         Common Stock - Voting         Common Stock - Voting         Common Stock - Non Voting	Amount or Number of Shares       5,325       1,775       7,725       2,575       6,000       2,000	4. Conversion or Exercise Price of Derivative Security 38.35 38.35 29.89 29.89 29.89 38.39 38.39	<ul> <li>Ownership Form: Direct (D) or Indirect (I) (Instr. 5)</li> <li>D</li> </ul>	Beneficial Ownersh	
Options - Rią Options - Rią Options - Rią Options - Rią Options - Rią	ght to Buy ght to Buy	Instr. 4)	Expiration Date (Month/Day/V           Date Exercisable           01/25/2006 <sup>(1)</sup> 01/25/2006 <sup>(1)</sup> 03/25/2010 <sup>(1)</sup> 03/31/2011 <sup>(1)</sup> 03/31/2011 <sup>(1)</sup> 03/30/2012 <sup>(1)</sup>	Expiration           01/24/2015           01/24/2015           03/24/2019           03/24/2019           03/30/2020           03/30/2020           03/29/2021	Underlying Derivative Security         Title         Common Stock - Voting         Common Stock - Non Voting         Common Stock - Non Voting         Common Stock - Non Voting         Common Stock - Voting	Amount or Number of Shares       5,325       1,775       2,575       6,000       2,000       7,200	4. Conversion or Exercise Price of Derivative Security 38.35 38.35 29.89 29.89 38.39 38.39 38.39	<ul> <li>Ownership Form: Direct (D) or Indirect (I) (Instr. 5)</li> <li>D</li> </ul>	Beneficial Ownersh	

Explanation of Responses:

1. Twenty-five percent (25%) of the option grant becomes exercisable at each of the first four (4) grant anniversaries.

2. The option grant becomes exercisable in thirds at each of the first three (3) grant anniversaries.

**Remarks:** 

Jeffery Schwartz, Attorney-in-10/03/2014 fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Know all by these presents, that the undersigned hereby constitutes and appoints each of W. Geoffrey Carpenter, Jeffery Schwartz and Jason Wynn, the undersigned's true and lawful attorney-in-fact:

(1) to execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder, and Form 144 in accordance with the requirements of the Securities Act of 1933;

(2) to do and perform such acts for and on behalf of the undersigned as may be necessary or desirable to complete and execute any such Form 3, 4, or 5, and Form 144, and timely file such forms with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(3) to take such other actions as such attorney-in-fact, on advice of counsel, may deem to be of benefit to, in the best interests of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-infact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934, or the undersigned's responsibilities to comply with the Securities Act of 1933.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5, and/or Form 144, with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorney-in-fact. IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 1st day of October, 2014.

/s/Michael R. Smith